APR 1 9 2004

Signature

PTO/SB/21 (02-04)

April 13, 2004

	Approved for use through 07/31/2006. OMB 0651-003
	t and Trademark Office; U.S. DEPARTMENT OF COMMERCI
luction Act of 1995, no persons are required to respond to a collection	n of information unless it displays a valid OMB control number
Application Number	

,		10/616,136
TRANSMITTAL	Filing Date	March 31, 2004
FORM	First Named Inventor	Songping Yu
(to be used for all correspondence after initial filing	Art Unit	
	Examiner Name	
Total Number of Pages in This Submission	7 Attorney Docket Number	FGT 3F4 (81100317)
	ENCLOSURES (Check all th	at apply)
Fee_Transmittal Form  Fee Attached  Amendment/Reply  After Final  Affidavits/declaration(s)  Extension of Time Request  Express Abandonment Request  Information Disclosure Statement  Certified Copy of Priority Document(s)  Response to Missing Parts/ Incomplete Application  Response to Missing Parts under 37 CFR 1.52 or 1.53	Drawing(s)  Licensing-related Papers  Petition  Petition to Convert to a Provisional Application Power of Attorney, Revocation Change of Correspondence Add Terminal Disclaimer  Request for Refund  CD, Number of CD(s)	After Allowance communication to Technology Center (TC)  Appeal Communication to Board of Appeals and Interferences Appeal Communication to TC (Appeal Notice, Brief, Reply Brief)  Proprietary Information  Status Letter  Other Enclosure(s) (please Identify below):  Recordation Cover Sheet Assignment to Ford Global Technologies PTO-1449 Form
	RE OF APPLICANT, ATTORI	NEY, OR AGENT
	TIFICATE OF TRANSMISSIO	N/MAILING or deposited with the United States Postal Service with
		or deposited with the United States Postal Service with atents, P.O. Box 1450, Alexandria, VA 22313-1450 on
Typed or printed name Lauren Barberer	na	

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

PTO/SB/17 (10-03)
Approved for use through 07/31/2006. OMB 0651-0032
U.S. Patent and Trademira Office; U.S. DEPARTMENT OF COMMERCE Paperwork Reduction Act of 1995, no persons are required to re

FEE	TR	AN	SM	IT	ΓAL
	for	FY	200	)4	

Effective 10/01/2003. Patent fees are subject to annual revision.

Applicant claims small entity status. See 37 CFR 1.27

(\$) 40.00 **TOTAL AMOUNT OF PAYMENT** 

espond to a collection of info	ormation unless it displays a valid Olvib Control number.
Co	omplete if Known
Application Number	10/816,156
Filing Date	March 31, 2004
First Named Inventor	Songping Yu
Examiner Name	
Art Unit	
Attorney Docket No.	FGT 3F4 (81100317)

METH	IOD OF PAY	MENT (check all tha	t apply)				FE	E CALCULATION (continued)	
Check	Credit card	Money Oth	er None		DDITI			Ş	
<b>⋉</b> Deposit	Account:	Order			Entity				
Deposit		00.4540		Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description	Fee Paid
Account Number		06-1510		1051	130	2051	65	Surcharge - late filing fee or oath	
Deposit Account	Ford Glo	bal Technologies	, LLĊ	1052	50	2052	25	Surcharge - late provisional filing fee or cover sheet	
Name	e authorized to	: (check all that apply)		1053	130	1053	130	Non-English specification	
	(s) indicated beli		verpayments	1812	2,520	1812	2,520	For filing a request for ex parte reexamination	
		s) or any underpayment of		1804	920*	1804	920*	Requesting publication of SIR prior to Examiner action	
	` '	ow, except for the filing	fee	1805	1,840*	1805	1,840*	Requesting publication of SIR after	
to the above-io	lentified deposit			1251	110	2251	55	Examiner action  Extension for reply within first month	
		ALCULATION		1252	420	2252	210	Extension for reply within second month	]
1. BASIC F Large Entity				1253	950	2253		Extension for reply within third month	
Fee Fee	Fee Fee F	Fee Description	Fee Paid		1,480	2254	740	Extension for reply within fourth month	
Code (\$)	Code (\$)	11024 - 622 - 6 -			2.010	2255		Extension for reply within fifth month	
1001 770	2001 385	Utility filing fee		1401	330	2401		Notice of Appeal	
1002 340	2002 170	Design filing fee		1402	330	2402		Filing a brief in support of an appeal	
1003 530 1004 770	2003 265 2004 385	Plant filing fee Reissue filing fee		1403	290	2402		Request for oral hearing	——————————————————————————————————————
1004 770	2004 383	Provisional filing fee			1,510	1451		Petition to institute a public use proceeding	
1003 100				1452	110	2452	•	Petition to revive - unavoidable	
	· St	UBTOTAL (1) (\$) 0	.00		1,330	2453		Petition to revive - unintentional	
2. EXTRA	CLAIM FEES	FOR UTILITY AN			1,330	2501		Utility issue fee (or reissue)	
		Fee from Ext <u>ra Claims</u> below	Fee Paid	1502	480	2502		Design issue fee	
Total Claims	-20**		===	1503	640	2503	320	Plant issue fee	
Independent Claims	-3**	= X 86.00		1460	130	1460	130	Petitions to the Commissioner	
Multiple Depe	ndent		┛	1807	50	1807	7 50	Processing fee under 37 CFR 1.17(q)	
Large Entity Fee Fee	Small Entity Fee Fee	Eco Documentian		1806	180	1806	180	Submission of Information Disclosure Stmt	
Code (\$)	Code (\$)	Fee Description		8021	40	802°	I 40	Recording each patent assignment per property (times number of properties)	40.00
1202 18 1201 86	2202 9	Claims in excess of 20		1809	770	2809		Filing a submission after final rejection	
1201 86 1203 290	2201 43 2203 145	Independent claims in Multiple dependent cla		1010	770	2016		(37 ČFR 1.129(a))	
1203 290	2203 143	** Reissue independer		1810	770	2810	, ანნ	For each additional invention to be examined (37 CFR 1.129(b))	
.204 00	2207 43	over original patent		1801	770	2801	385	Request for Continued Examination (RCE)	
1205 18	2205 9	** Reissue claims in ex and over original pat		1802	900	1802	900	Request for expedited examination of a design application	
	GI ID.	TOTAL (2) (\$)	0.00	Other	fee (sp	ecify) _			
**or numbe		, if greater; For Reissues		*Redu	ced by	Basic I	Filing F	ee Paid SUBTOTAL (3) (\$) 40.0	0

SUBMITTED BY (Complete (if applicable)) Registration No. Name (Print/Type) John D. Russell 47,048 Telephone (503) 224-6655 Signature Date April 13, 2004

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.



## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Date: April 13, 2004

SONGPING YU

MICHAEL J. CULLEN and

FREEMAN C. GATES

Serial No. : 10/816,156

Filed

: March 31, 2004

For

DIAGNOSTIC SYSTEM FOR CATALYTIC CONVERTER

USING EXHAUST GAS RECIRCULATION SYSTEM THAT

CAN DETECT EXHAUST PRESSURE BUILDUP

Commissioner for Patents P.O. Box 1450 Alexandria, Virginia 22313-1450

Sir:

# INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §§ 1.56, 1.97, and 1.98

Applicants are submitting this Information Disclosure Statement pursuant to 37 C.F.R. §§ 1.56, 1.97, and 1.98 to disclose to the U.S. Patent and Trademark Office the patents, publications, applications, and/or other references listed on the enclosed, completed PTO-1449 form. The filing of this Information Disclosure Statement should not be construed as a representation that a search has been made or as an admission that the listed references are prior art for this application. Applicants respectfully request that the listed references be expressly considered during prosecution of the application, and that the references be made of record therein and appear among the "references cited" on any patents issuing therefrom.

### CONTENT OF DISCLOSURE

This Information Disclosure Statement includes (1) 1 page of PTO-1449 form, and (2) a legible copy of each foreign and

INFORMATION DISCLOSURE STATEMENT . . Page 1 Serial No. 10/816,156; FGT 3F4 (81100317) non-patent reference, if any, listed on the form. However, because this application was filed on or after July 1, 2003, no copies of U.S. patents or published U.S. patent applications are included.

### TIMING OF DISCLOSURE / FEE INFORMATION

This Information Disclosure Statement is being filed either (1) within three months of the filing date of a national application other than a continued prosecution application under 37 C.F.R. § 1.53(d), or (2) within three months of the date of entry of the national stage as set forth in 37 C.F.R. § 1.491 in an international application. Therefore, in accordance with 37 C.F.R. § 1.97(b), no fee or statement under 37 C.F.R. § 1.97(e) is required.

Please contact the undersigned with any questions or comments regarding this Information Disclosure Statement.

### CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450, On April 13, 2004.

Respectfully submitted,

KOLISCH HARTWELL, P.C.

Jøhn D. Russell

Registration No. 47,048

Customer No. 36865

520 S.W. Yamhill St, Suite 200

Portland, Oregon 97204

Telephone: (503) 224-6655

Facsimile: (503) 295-6679

Attorney for Applicant

EXAMINER INITIAL DOCUMENT NUMBER  6,014,961 1-1  6,138,504 10-  6,434,474 8-1  6,459,985 10-	J.S. PATENT  DATE  3-00  Gar  31-00  Lev  3-02  Ko	NAM	i et al 004 IENTS IE	10/8	816,156 DUP ART U	FIL. DA
EXAMINER INITIAL DOCUMENT NUMBER  6,014,961 1-1  6,138,504 10-  6,434,474 8-1  6,459,985 10-	J.S. PATENT  DATE  3-00  Gar  31-00  Lev  3-02  Ko	gping Yu NG DATE Irch 31, 20  DOCUM NAM Tes  vis et al twicki et a	et al 004 IENTS al		SS SUB	INIT FIL. DAT
EXAMINER INITIAL DOCUMENT NUMBER  6,014,961 1-1  6,138,504 10-  6,434,474 8-1  6,459,985 10-	J.S. PATENT  DATE  3-00 Gat  31-00 Lev  3-02 Ko  1-02 Ko	DOCUM  NAM  res  vis et al  twicki et a	IENTS  al		SS SUB	FIL. DA
EXAMINER DOCUMENT NUMBER  6,014,961 1-1  6,138,504 10-  6,434,474 8-1  6,459,985 10-	B-00 Gar B1-00 Lev B-02 Ko	NAM Tes vis et al twicki et a	al al	CLAS		
INITIAL NUMBER  6,014,961 1-1  6,138,504 10-  6,434,474 8-1  6,459,985 10-	3-00 Gar 31-00 Lev 3-02 Ko	es vis et al twicki et a	al al	CLAS		
6,138,504 10- 6,434,474 8-1 6,459,985 10-	31-00 Lev 3-02 Ko 1-02 Ko	vis et al twicki et a	al			
6,434,474 8-1 6,459,985 10-	3-02 Ko	twicki et a	al			
6,459,985 10-	1-02 Ko	twicki et a	al	<u> </u>		
<del></del>						
6,659,095 12-	9-03 Ko	twicki et a	al			
				i i		
			· · · · · ·			<u> </u>
		<u> </u>				,
FOI	REIGN PATE	NT DOCU	JMENT	S		
DOCUMENT DA NUMBER	TE COUI	NTRY (	CLASS	SUB CLASS	YES	RANSLATION

# OTHER DOCUMENTS EXAMINER DATE CONSIDERED